

PENDELTON COUNTY WATER
DISTRICT

P.S.C. Ky. No. 1

Cancels P.S.C. Ky. No.

PENDLETON COUNTY WATER DISTRICT

OF

400 Main Street, Falmouth, KY 41040

Rates, Rules and Regulations for Furnishing

Water Service

AT

Central and Northern portion of Pendleton County and Southern

Campbell County.

Filed with PUBLIC SERVICE COMMISSION OF
KENTUCKY

ISSUED.....November 18....., 19.82...

EFFECTIVE.....November 16....., 1982...

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

NOV 16 1982

PURSUANT TO 807 KAR 5:011,

SECTION 9(1)

BY: *[Signature]*

ISSUED BY.....Pendleton County Water District
(Name of Utility)

BY: *[Signature]*

.....Manager.....

FOR Pendleton County, Kentucky
Community, Town or City

P.S.C. KY. NO. _____

_____ SHEET NO. _____

Pendleton County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RATES AND CHARGES

MONTHLY CHARGES

5/8" x 3/4" meter through 2" meter

First 2,000 Gallons	\$19.34 Minimum Bill
Next 3,000 Gallons	8.32 per 1,000 gallons
Next 10,000 Gallons	7.82 per 1,000 gallons
All Over 15,000 Gallons	6.72 per 1,000 gallons

Pendleton County High

First 125,000 Gallons	\$861.70 Minimum Bill
Over 125,000 Gallons	6.72 per 1,000 gallons

Griffin Industries

First 400,000 Gallons	\$2,728.80 Minimum Bill
Over 400,000 Gallons	6.72 per 1,000 gallons

City of Butler

First 1,672,917 Gallons	\$5,052.21 Minimum Bill
Over 1,672,917 Gallons	3.02 per 1,000 Gallons

DATE OF ISSUE _____

Month / Date / Year

DATE EFFECTIVE _____

ISSUED BY H. J. Summerma

Month / Date / Year

(Signature of Officer)

TITLE Secretary

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

**PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE
8/16/2004
PURSUANT TO 807 KAR 5:011
SECTION 9 (1)**

By [Signature]
Executive Director

FOR Pendleton County, Kentucky
Community, Town or City

P.S.C. KY. NO. _____

_____ SHEET NO. _____

Pendleton County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

CLASSIFICATION OF SERVICE

RATE
PER UNIT

METER CONNECTION CHARGES:

5/8 Inch X 3/4 Inch
All other meters

\$715.00
Actual Cost

NON-RECURRING CHARGES:

Field Collection Charge	22.50
Meter Re-read Charge	22.50
Meter Test Charge	30.00
Re-connection Charge*	45.00*
Returned Check Charge	15.00
Turn-on Charge	22.50

***NOTE**—Re-connections include the cost of the re-connect in addition to the disconnect and shall apply to customers that have been disconnected for non-payment of bills or for a violation of Utility or Public Service Commission rules and regulations.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

APR 01 2000

PURSUANT TO 807 KAR 5.011,
SECTION 9 (1)

BY: Stephan Bui

SECRETARY OF THE COMMISSION

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE 4-1-00
Month / Date / Year

ISSUED BY H. J. Commerma
(Signature of Officer)

TITLE Secretary

Issued by authority of an Order of the Public Service Commission of Kentucky
in Case No. 2000-085 dated 4-3-00

FOR Pendleton County, Kentucky
Community, Town or City

P.S.C. KY. NO. _____

_____ SHEET NO. _____

Pendleton County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

NON-RECURRING CHARGES:

Field Collection Charges shall be assessed when a utility representative visits the premises of the service connection to terminate service, and the customer is on-site and pays the delinquent bill to avoid termination of service.

Meter Re-read Charges shall be assessed when a customer requests the utility to re-read the customer's meter and the re-read proves that the original meter reading was correct.

Meter Test Charges shall be assessed when a customer requests the utility perform a test on the customer's meter to check for accuracy, and the test shows the customer's meter is not more than two percent (2%) fast. The customer shall be given the opportunity to be present when the test is conducted.

Re-connection Charges shall be assessed to reconnect service that has been terminated for non-payment of bills or for violation of Utility or Public Service Commission rules and regulations.

Returned Check Charges shall be assessed when a customer's check is returned, either due to insufficient funds or other reason due to customer fault.

Turn-on Charges shall be assessed for new service turn-ons, seasonal turn-ons, or temporary service. The charge will not be made for initial installation of service where a tap-on connection fee is applicable.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

APR 01 2000

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE 4-1-00
Month / Date / Year

ISSUED BY H. L. Comerma
(Signature of Officer)

TITLE Secretary

ADDRESS _____

For North Pendleton & South Campbell Counties.
Community, Town or City

P.S.C. KY. NO. _____

_____ SHEET NO. _____

Pendleton County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

All customers will pay a minimum cash deposit to secure payment of bills. If actual usage is available for the customer at the same or similar premises, the deposit amount will be calculated using the customer's average bill for the most recent 12 month period. If actual usage is not available, the deposit amount will be based on the average bill of similar customers and premises in the system. Deposit amounts will not exceed two twelfths (2/12) of the customer's actual or estimated annual bill.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

MAR 31 1999

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Stephan O. Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE _____

Month Date Year

ISSUED BY _____
(Signature of Officer)

DATE EFFECTIVE _____

Month Date Year

TITLE _____

Central and Northern portion of
FOR Pendleton County

P.S.C. Ky. No. 1

Original Sheet No. 3

Cancelling P.S.C. Ky. No. _____

Sheet No. _____

Pendleton County Water District

RULES AND REGULATIONS

This schedule of Rules and Regulations governs the furnishing of water service by Pendleton County Water District hereinafter referred to as the Utility and applies to all service received from the Utility. No employee or individual director of the Utility is permitted to make an exception to Rates, Rules or Regulations. All Rules and Regulations are to be in effect so long as they are not in conflict with Public Service Commission Rules and Regulations. The Utility is further subject to all Rules and Regulations of the Commission even though not contained herein.

REVISIONS

These Rules and Regulations may be revised, amended, supplemented or otherwise changed from time to time subject to approval of the Public Service Commission, and shall have the same force as the present Rules and Regulations.

SERVICE AREA

The Utility furnishes water service to Customers located in Central and Northern portion of Pendleton County, Kentucky.

AVAILABILITY

Water Service is available to any domestic, commercial or industrial consumer within the Utility's Area.

WATER FAILURE

The Utility is responsible for water failure only when in control of the Utility's employees. No consumer is paid damages for ~~equipment unless such~~ damages are specifically found to be caused by an act of negligence on the part of the Utility or its employees.

PROTECTION BY CONSUMER

Consumer shall protect the equipment of the Utility on his premises and shall not interfere with Utility's property or permit interference except by duly authorized representatives of the Utility.

DATE OF ISSUE Oct. 3, 1981
Month Day Year

DATE EFFECTIVE Oct. 2, 1981
Month Day Year

ISSUED BY Henry Houchens Chairman 400 Main St., Falmouth, Ky.
Name of Officer Title Address 41040

Central and Northern portion of
FOR Pendleton County

P.S.C. Ky. No. 1

Revised Sheet No. 4

Cancelling P.S.C. Ky. No. 1

Original Sheet No. 4

PENDLETON COUNTY WATER DISTRICT

RULES AND REGULATIONS

NOTICE OF TROUBLE

Consumer shall give immediate notice to the Utility of any irregularities or unsatisfactory service and of any defects known to consumer.

MAINTENANCE

The Utility may at any time deemed necessary, suspend water service to any consumer or consumers for the purpose of making repairs, changes or improvements upon any part of its system. The Utility shall give reasonable notice of such suspension of service to the Consumer.

The Utility shall be responsible for the maintenance of that portion of the service line installed by the Utility and the consumer shall be responsible for the maintenance of that portion thereof installed by the consumer.

EXTENSION OF SERVICE

Extension of service shall be in accordance with 807 KAR 5:066E. Section 12.

LINE LOCATIONS

When necessary to move or relocate facilities, the cost will be paid by party or parties requesting such relocation.

BILLING, COLLECTION, PENALTIES

Bills for water service furnished by the water system will be mailed no later than the 5th day of each month and will be due and payable within 10 days.

N A 10% late penalty charge will be applicable after the due date of any account.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUL 15 1987

PURSUANT TO 807 KAR 5:011,
SECTION 9(1)

BY: J. George

DATE OF ISSUE June 25, 1987 DATE EFFECTIVE July 15, 1987
Month Day Year Month Day Year

ISSUED BY H. L. Rimmer Secretary 400 Main St., Falmouth, KY 41040
Name of Officer Title Address

Central and Northern portion of
FOR Pendleton County

P.S.C. Ky. No. 1

Original Sheet No. 5

Cancelling P.S.C. Ky. No.

Sheet No.

Pendleton County Water District

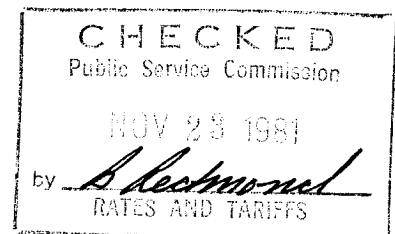
RULES AND REGULATIONS

DEPOSITS

C 7-94
The Utility may require from any customer or applicant for service a cash deposit ~~or other guaranty~~ to secure payment of bills not to exceed two-twelfths (2/12) of the ~~estimated annual bill~~ of such customer or applicant where bills are rendered monthly, or three-twelfths (3/12) of the estimated annual bill where bills are rendered bimonthly, or four-twelfths (4/12) of the estimated annual bill where bills are rendered quarterly. Interest at the rate of six percent (6%) per annum will be paid on deposits so required. accruing from the date of deposit.

DISCONTINUANCE OF SERVICE BY UTILITY

The Utility may refuse or discontinue service to an applicant or customer, after proper notice, for failure to comply with its rules and regulations. or state and municipal rules and regulations, when a customer or applicant refuses or neglects to provide reasonable access to the premises, for fraudulent or illegal use of service, or for nonpayment of bills. If discontinuance is for non-payment of bills, the customer shall be given at least forty-eight (48) hours written notice, spearate from the original bill, and cut-off shall be effected not less than twenty (20) days after the mailing date of the original bill unless, prior to discontinuance, a residential customer presents to the utility a written certificate, signed by a physician, registered nurse, or public health officer, that such discontinuance will aggravate an existing illness or infirmity on the affected premises, in which case discontinuance may not be effected until the affected resident can make other living arragnements or until not less than ten (10) days elapse from the date of the Utility's notification. When a dangerous condition is found t exist on the customer's or applicant's premises, the service shall be cut off without notice or refused.



DATE OF ISSUE Oct. 3, 1981

Month Day Year

DATE EFFECTIVE Oct. 2, 1981

Month Day Year

ISSUED BY Dennis Hornbeck

Name of Officer

Chairman - 400 Main St., Falmouth, Ky.

Title

Address

41040

Central and Northern protion o:
FOR Pendleton County

P.S.C. Ky. No. 1

Original Sheet No. 6

Pendleton County Water District

Cancelling P.S.C. Ky. No. _____

Sheet No. _____

RULES AND REGULATIONS

PURCHASED WATER ADJUSTMENT APPLICABLE TO ALL RATE SCHEDULES

The rate authorized herein are based upon the wholesale cost of water to the Applicant as computed upon rates of its wholesale suppliers then currently in effect under valid contracts or under wholesale tariffs of this Commission. For the purpose of this purchased water adjustment clause, these rates shall be considered as the base rate for purchased water. In the event there is an increase in this base rate the Applicant shall file with this Commission the following information:

(1) A copy of the wholesale supplier tariff or wholesale tariff of this Commission effecting the change in the base rate and a statement relative to the effective date of such proposed change.

(2) A statement setting out the details of water purchased under the provision of the base rate for the previous twelve months showing billing under the base rate and under the proposed revised rate applicable to this service.

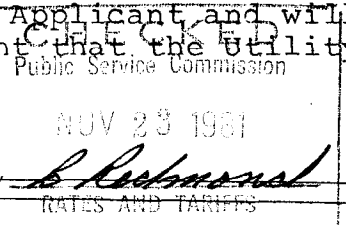
(3) A statement setting out the details of water sold for the previous twelve months.

(4) A balance sheet as of the end of the latest twelve month period and a statement of operation expenses and revenues in the same detail as reported to this Commission in the Utility's Annual Report.

(5) Such other information as this Commission may request for a proper determination of the purchased water adjustment.

In the event there is a decrease in purchased water costs or refund, the Applicant shall file the information required in 1, 2, and 4 above.

Upon receipt of this information, this Commission will review the effect of the revised rate on the operation of the Applicant and will issue its Order setting out the purchased water adjustment that the Utility shall apply to its rates.



DATE OF ISSUE Oct. 3, 1981
Month Day Year

DATE EFFECTIVE Oct. 2, 1981
Month Day Year

ISSUED BY Dennis Hombach Chairman, 400 Main St., Falmouth, Ky. 41040
Name of Officer Title Address

Central and Northern portion of
FOR Pendleton County

P.S.C. Ky. No. 1

Original Sheet No. 7

Pendleton County Water District

Cancelling P.S.C. Ky. No. _____

_____ Sheet No. _____

RULES AND REGULATIONS

The maximum amount of the adjustment so prescribed shall not produce revenue adjustments based upon the actual preceding twelve-month period, greater than the difference between the purchased water billed at the then existing rates and the purchased water billed at the revised rate.

On and after the effective date of this rate schedule, if any increase or decrease is made in the rate at which Company's water suppliers sell water to the Company, the unit charges of the aforesaid rate schedule shall be increased or decreased by a Purchased Water Adjustment determined as follows:

(1) Water Purchases will be determined by the Company under suppliers applicable rate schedule during a period of twelve calendar months ending within three months preceding the month of the effective date to the supplier's rate change. Such purchases shall be:

(a) At the Base Supplier Rate

(b) At the New Supplier Rate

(2) The difference between the amounts so determined shall be divided by the number of cubic feet/gallons of water sold by the Company during the said twelve-month period, unless purchases is in excess of 115% of total sales. In such instance, said difference shall be divided by sales plus fifteen (15) percent. The unit charge or credit so determined, expressed in cents per cubic feet/gallons, shall be the Purchased Water Adjustment applicable to consumer billings.

(3) The new supplier rate shall become the Base Supplier Rate to be used in measuring the effect of any subsequent supplier rate change. Each such subsequent change shall be treated in the same manner as set forth above for the establishment of a new Base Supplier Rate.

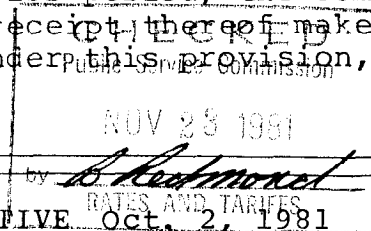
In the event that the Company receives from its supplier a refund of amounts paid to such supplier in respect of a prior period, the Company will apply to the Commission for authority and upon receipt thereof make adjustments on the amounts charged to its customers under this provision, as follows:

DATE OF ISSUE Oct. 3, 1981
Month Day Year

DATE EFFECTIVE Oct. 2, 1981
Month Day Year

ISSUED BY Dennis Hornbeek
Name of Officer

Chairman - 400 Main St., Falmouth, Ky. 4104
Title Address



Central and Northern portion of
FOR Pendleton County

P.S.C. Ky. No. 1

Original Sheet No. 8

Cancelling P.S.C. Ky. No.

 Sheet No.

Pendleton County Water District

RULES AND REGULATIONS

(1) The "Refundable Amount" shall be the amount received by the Company as a refund. Such Refundable Amount shall be divided by the number of cubic feet/gallons of water that Company estimated it will sell to its customers during the four-month period commencing with the first day of the month following receipt of the refund, thus determining a "Refund Factor".

(2) Effective with meter readings taken on and after the first day of the second month following receipt of the refund, the Company will reduce by the Refund Factor so determined and Purchased Water Adjustment that would otherwise be applicable during such period. Provided, however, that the period of reduced Purchased Water Adjustment will be adjusted, if necessary, in order to refund as nearly as possible the Refundable Amount.

(3) In the event of any large or unusual refunds, the Company may apply to the Utility Regulatory Commission for the right to depart from the refund procedure herein set forth.

The base rate for purchased water for the future application of this Purchased Water Adjustment Clause is:

<u>Supplier</u>	<u>Rate</u>
City of Falmouth	.75 per 1,000 gallons
Campbell Co. Water Dist.	.73 per 1,000 gallons (T)

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

NOV 16 1982

PURSUANT TO 807 KAR 5:011,
SECTION 9(1)

BY [Signature]

DATE OF ISSUE November 18, 1982
Month Day Year

DATE EFFECTIVE November 16, 1982
Month Day Year

ISSUED BY [Signature] Chairman - 400 Main St., Falmouth, Ky.
Name of Officer Title Address

FOR Central Pendleton and Southern
Campbell Counties

P.S.C. Ky. No. 1

Revised Sheet No. 9

Cancelling P.S.C. Ky. No.

Original Sheet No. 9

PENDLETON CO. WATER DISTRICT

RULES AND REGULATIONS

FIRE HYDRANTS

The fire hydrants installed on the distribution lines of this District are for the primary purpose of flushing the lines, or other uses by the District necessary for proper maintenance of the lines, and the secondary purpose is to assist fire protection units in the performance of their duties. The District is not responsible for, nor does it guarantee, any minimum pressure at these hydrants, other than the minimum pressure required by the Public Service Commission for distribution lines. Any damage to the distribution lines, resulting from excessive pumping pressure applied by any fire fighting unit will be the liability of that unit.

FIRE LINES

On all Fire Lines connected to the District's distribution lines a meter shall be required for the sole purpose of monitoring water usage with no minimum bill or charge for water used for fire protection, but bills are to be rendered for any water usage other than fire protection.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUN 26 1987

PURSUANT TO 807 KAR 5.011,
SECTION 9 (1)

BY: J. J. Deegan

DATE OF ISSUE JUN 25 1987
Month Day Year

DATE EFFECTIVE JUN 26 1987
Month Day Year

ISSUED BY H. J. Rimmer Secretary, 40040
Name of Officer Title Address

FORM FOR FILING RATE SCHEDULES

FOR NORTH PENDLETON AND SOUTH
PENDLETON COUNTIES
P.S.C. NO. _____PENDLETON COUNTY WATER DIST
NAME OF ISSUING CORPORATION _____SHEET NO. _____
CANCELLING P.S.C. NO. _____

SHEET NO. _____

CLASSIFICATION OF SERVICE

1

MONITORING OF CUSTOMER USAGE

At least once annually the company will monitor the usage of each customer according to the following procedure:

- (1) The customer's annual usage for the most recent 12-month period will be compared with the annual usage for the 12 months immediately preceding that period.
- (2) If the annual usage for the two periods are substantially the same, or if any difference is known to be attributed to unique circumstances, such as unusual weather conditions, common to all customers, no further review will be done.
- (3) If the annual usage differs by 25 percent or more and cannot be attributed to a readily identified common cause the company will compare the customer's monthly usage records for the 12 month period with the monthly usage for the same months of the preceding year.
- (4) If the cause for the usage deviation cannot be determined from analysis of the customer's meter reading and billing records, the company will contact the customer by telephone or in writing to determine whether there have been changes such as a different number of household members or work staff, additional or different appliances, changes in business volume, or known leaks in the customer's service line.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUL 14 1994

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)BY: Cheryl Helle
PUBLIC SERVICE COMMISSION MANAGERDATE OF ISSUE APRIL 21, 1994ISSUED BY Mark D. Hogan
NAME OF OFFICER

DATE EFFECTIVE _____

TITLE ManagerISSUED BY AUTHORITY OF AN ORDER OF THE PUBLIC SERVICE COMMISSION OF
KENTUCKY IN CASE NO. _____ DATED _____

FORM FOR FILING RATE SCHEDULES

FOR _____
 (COMMUNITY, TOWN OR CITY)
 P.S.C. NO. _____
 SHEET NO. _____
 CANCELLING P.S.C. NO. _____
 SHEET NO. _____

NAME OF ISSUING CORPORATION _____

CLASSIFICATION OF SERVICE

(Continued from pg. 1)

MONITORING OF CUSTOMER USAGE

- (5) Where the deviation is not otherwise explained the company will test the customer's meter to determine whether it shows an average error greater than 2 percent fast or slow.
- (6) The company will notify the customer of the investigation, its findings, and any refunds or backbilling in accordance with 807 KAR 5:006, section 10(4) and (5). In addition to the annual monitoring, the company will immediately investigate usage deviation brought to its attention as a result of its on-going meter reading or billing process or customer inquiry.

PUBLIC SERVICE COMMISSION
 OF KENTUCKY
 EFFECTIVE

JUL 14 1994

PURSUANT TO 807 KAR 5:011,
 SECTION 9 (1)

BY: [Signature]
 PUBLIC SERVICE COMMISSION MANAGER

DATE OF ISSUE, APRIL 21 1994

ISSUED BY [Signature]
 NAME OF OFFICER

DATE EFFECTIVE

TITLE Manager

ISSUED BY AUTHORITY OF AN ORDER OF THE PUBLIC SERVICE COMMISSION OF KENTUCKY IN CASE NO. _____ DATED _____.

FORM FOR FILING RATE SCHEDULES

FOR NORTH PENDLETON AND SOUTH
CAMPBELL COUNTIES
P.S.C. NO. _____

PENDLETON COUNTY WATER DIST.
NAME OF ISSUING CORPORATION _____

SHEET NO. _____
CANCELLING P.S.C. NO. _____

SHEET NO. _____

CLASSIFICATION OF SERVICE

DEPOSITS

The company may require a minimum cash deposit or other guaranty to secure payment of bills. Interest as prescribed by KRS 278.460, 1 will be paid annually either by refund or credit to the customer's bill except that no refund or credit will be made if the customer's bill is delinquent on the anniversary date of the deposit.

The deposit may be waived upon a customers showing of satisfactory credit or payment history and required deposits will be returned after one (1) year if the customer has established a satisfactory payment record for that period. If a deposit has been waived or returned and the customer fails to maintain a satisfactory payment record, a deposit may then be required. The company may require a deposit in addition to the initial deposit if the customer's classification of service changes or if there is a substantial change of usage. Upon termination of service, the deposit, any principal amounts and any interest earned and owing will be credited to the final bill with any remaining amount refunded to the customer.

In determining whether a deposit will be required or waived, the following criteria will be considered:

- (1) Previous payment history with the company.
If the customer has no previous history with the company, statements from other utilities, banks, etc. may be presented by the customer as evidence of good credit.
- (2) Whether the customer has an established income or line of credit.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUL 14 1994

PURSUANT TO 807 KAR 5.011,
SECTION 9 (1)

BY: Cheryl Haller
PUBLIC SERVICE COMMISSION MANAGER

DATE OF ISSUE APRIL 21, 1994

ISSUED BY Walter D. Hays
NAME OF OFFICER

DATE EFFECTIVE _____

TITLE Manager

ISSUED BY AUTHORITY OF AN ORDER OF THE PUBLIC SERVICE COMMISSION OF

FORM FOR FILING RATE SCHEDULES

FOR NORTH PENDLETON AND SOUTH
CAMPBELL COUNTIES
P.S.C. NO. _____PENDLETON COUNTY WATER DIST
NAME OF ISSUING CORPORATION _____SHEET NO. _____
CANCELLING P.S.C. NO. _____

SHEET NO. _____

CLASSIFICATION OF SERVICE

CONTINUED FROM PAGE 1

- (3) Length of time the customer has resided or been located in this area.
- (4) Whether the customer owns property in the area.
- (5) Whether the customer has filed bankruptcy proceedings within the last seven years.
- (6) Whether another customer with a good payment history is willing to sign as a guarantor for an amount equal to the required deposit.

If a deposit is held longer than 18 months the deposit will be recalculated at the customer's request based on the customer's actual usage. If the deposit on account differs from the recalculated amount by more than \$10.00 for a residential customer the company may collect any under payment and shall refund any over payment by check or credit to the customer's bill. No refund will be made if the customer's bill is delinquent at the time of recalculation.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUL 14 1994

PURSUANT TO 807 KAR 5.011,
SECTION 9 (1)BY: *Chas. H. Hall*
PUBLIC SERVICE COMMISSION MANAGERDATE OF ISSUE APRIL 21, 1994

DATE EFFECTIVE _____

ISSUED BY *Man [Signature]*
NAME OF OFFICERTITLE *Manager*ISSUED BY AUTHORITY OF AN ORDER OF THE PUBLIC SERVICE COMMISSION OF
KENTUCKY IN CASE NO. _____ DATED _____

FORM FOR FILING RATE SCHEDULES

FOR NORTH PENDLETON AND SOUTH
CAMPBELL COUNTIES
P.S.C. NO. _____

PENDLETON COUNTY WATER DIST
NAME OF ISSUING CORPORATION _____

SHEET NO. _____
CANCELLING P.S.C. NO. _____
SHEET NO. _____

CLASSIFICATION OF SERVICE

EQUAL DEPOSITS

All customers will pay equal deposits in the amount of \$40.00. This amount does not exceed the average bill of residential customers served by the company and is equal to 2/12 of the average annual bill.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUL 14 1934

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Charles H. Baker
PUBLIC SERVICE COMMISSION MANAGER

DATE OF ISSUE APRIL 21, 1994

ISSUED BY Alan K. K. J.
NAME OF OFFICER

DATE EFFECTIVE _____

TITLE Manager

ISSUED BY AUTHORITY OF AN ORDER OF THE PUBLIC SERVICE COMMISSION OF
KENTUCKY IN CASE NO. _____ DATED _____

FORM FOR FILING RATE SCHEDULES

FOR NORTH PENDLETON AND SOUTH
CAMPBELL COUNTIES

P.S.C. NO. _____

SHEET NO. _____

CANCELLING P.S.C. NO. _____

PENDLETON COUNTY WATER DIST
NAME OF ISSUING CORPORATION

SHEET NO. _____

CLASSIFICATION OF SERVICELeak Adjustment Procedure:

The Company reserves the right to adjust any customers bill to a rate of \$3.00 per thousand gallon. This is a reduction from our normal rates currently on our tariff. This will only be done one time annually after the following criteria and/or steps are taken.

As follows:

- 1) Normal usage will be paid for at rates on our current tariff.
- 2) The usage must be a leak—water not used by negligence or theft.
- 3) Proof of leak must be shown.
- 4) Proof of leak repair must be shown.
- 5) Must be 40,000 gallon or more above normal usage.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
FRANKFURT

JAN 28 2000

PURSUANT TO KY R/S 6011,
SECTION 11
BY: SKD/RL
CHIEF OF BUREAUDATE OF ISSUE 12-28-99

DATE EFFECTIVE _____

ISSUED BY A. J. Cameron

Name of Officer

TITLE Sec.

Issued by authority of an order of the Public Service Commission of Kentucky in case
No. _____ dated _____.

FOR NORTH PENDLETON AND SOUTH CAMPBELL COUNTIES
P.S.C. NO.

SHEET NO.

CANCELLING P.S.C. NO.

SHEET NO.

PENDLETON COUNTY WATER DIST
NAME OF ISSUING CORPORATION

Presorted
First Class Mail
U.S. Postage
Paid 1 oz.
FALMOUTH, KY
Permit No. 74

☐ CHECK BOX IF YOU DESIRE A CURRENT RATE SCHEDULE

RETURN THIS STUB WITH PAYMENT

BOOK	ACCOUNT	DUE DATE
GROSS AMOUNT		PAID AMOUNT

Y OF SERVICE

PLEASE RETURN THIS
STUB WITH PAYMENT TO:

PENDLETON COUNTY WATER DISTRICT

P.O. BOX 232

FALMOUTH, KENTUCKY 41040

OFFICE PHONE - DAY OR NIGHT
(859) 654-6964

PENDLETON COUNTY WATER DISTRICT
P.O. BOX 232 - FALMOUTH, KENTUCKY 41040
(859) 654-6964 - FAX (859) 654-6965
RETURN SERVICE REQUESTED

SERVICE TO

SEE REVERSE SIDE FOR IMPORTANT INFORMATION - BILL DUE UPON RECEIPT

[illegible]

BOOK	ACCOUNT	FROM	TO
GROSS AMOUNT			

SERVICE CODES

W	- WATER
TX1	- UTILITY TAX
TX2	- SALES TAX

*** AVOID PENALTY ***

ALL BILLS DUE AND PAYABLE BY 10TH OF THE MONTH -
10% PENALTY AFTER 15TH OF THE MONTH - SERVICE
DISCONTINUED IF NOT PAID BY 30TH OF THE MONTH -
RECONNECT FEE \$45.00.

FAILURE TO RECEIVE BILL DOES NOT EXCUSE PAYMENT

DATE OF ISSUE

DATE EFFECTIVE

ISSUED BY

Name of Officer

TITLE

**PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE**

Issued by authority of an order of the Public Service Commission of Kentucky in case No. _____ dated _____, 19____.

AUG 10 2000

PURSUANT TO 807 KAR 5.011,
SECTION 9 (1)
BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

SUMMARY
OF
ORDINANCE NO. 340.5

RECEIVED

JUN - 4 2001

PSC
FINANCIAL ANALYSIS

AN ORDINANCE AUTHORIZING THE COUNTY JUDGE-EXECUTIVE TO ISSUE EXECUTIVE ORDERS TO DEAL WITH DROUGHT CONDITIONS, DESCRIBING LEVELS OF WATER CONSERVATION MEASURES; DEALING WITH RATIONING; AND IMPOSING PENALTIES.

BE IT ORDAINED by the Fiscal Court of County of Pendleton, Commonwealth of Kentucky:

Section 1 describes the purpose of this ordinance being to insure to the extent possible the continued availability of as much water for public use as possible, consistent with prudent planning and conservation measures.

Section 2 the various phases of water use restrictions, including the "advisory phase," "alert phase," "emergency phase," and "water rationing phase."

It also defines Classes 1 through Class 3, also known as "essential, socially or economically important, and non-essential uses."

Section 3 explains the aforementioned phases based upon the availability of water supply.

Section 4 authorizes the Judge-Executive to ban or restrict uses by executive order unless countermanded by vote of six (6) members of Fiscal Court.

Section 5 provides that such orders may provide for a ban on all Class 3 uses, restrictions on Class 2 and 1 uses, and restrictions or ban such uses during certain parts of the day in various parts of the County.

Section 6 provides for immediate reduction of use of 25% during rationing and up to 40% reduction after 10 days.

Section 7 requires all utility operators to develop and present a described rationing plan to the Judge-Executive.

Section 8 states that the ordinance is enacted pursuant to authority granted by KRS 67.083(3)(h), but shall not be deemed to interfere with the minimal rights of land owners under KRS 151.210(1) or with other statutory or common law riparian rights.

Section 9 Any person violating this ordinance or any Executive Order issued pursuant to authority or mandate granted hereunder shall be

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JUL 04 2001

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

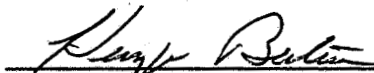
BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

issued a citation by a law enforcement officer and/or may be charged by any other person upon a summons or warrant:

- (a) For a first violation the person shall be warned only.
- (b) For a second violation and/or each subsequent violation, with each subsequent failure to cease and desist from the violation, regardless of lapse of time between the violations and/or the continued violation potentially giving rise to and constituting multiple violations, the person shall be subject to arrest, a fine of up to Five Hundred (\$500.00) Dollars and up to one (1) year in jail.
- (c) For violations by a household or a business where the individual operator or user is unknown, the head of such household or in the case of a business the proprietor or an on-site or off-site officer of said business enterprise may be cited or arrested and charged for the offense.

Section 10-12 provides for severability and effective dates and periods.

A full text of the ordinance may be found and is available for public inspection in the office of the Pendleton County Judge-Executive and/or the Pendleton County Clerk's Office, Falmouth, Kentucky.

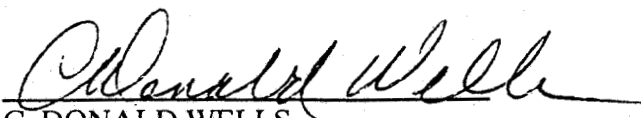

HENRY W. BERTRAM,
Pendleton County Judge-Executive

ATTEST:

Bonnie S. Monroe - Clerk
BONNIE MONROE,
Pendleton County Clerk

By: Darlene Howell DC

IT IS HEREBY CERTIFIED that the above summary of Pendleton County Ordinance No. 340.5 has been prepared in accordance with Kentucky Revised Statutes by the undersigned, who is an attorney licensed to practice law in the Commonwealth of Kentucky.


C. DONALD WELLS
Pendleton County Attorney
114 West Shelby Street
Falmouth, Kentucky 41040
(606) 654-6330

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUL 04 2001

PURSUANT TO 807 KAR 50.11,
SECTION 9 (1)

BY: Stephan O. Bill
SECRETARY OF THE COMMISSION

ORDINANCE NO. 340.5

**AN ORDINANCE AUTHORIZING THE COUNTY JUDGE-EXECUTIVE TO
ISSUE EXECUTIVE ORDERS TO DEAL WITH DROUGHT CONDITIONS;
DESCRIBING LEVELS OF WATER CONSERVATION MEASURES; DEALING
WITH RATIONING; AND IMPOSING PENALTIES.**

BE IT ORDAINED by the Fiscal Court of County of Pendleton, Commonwealth of Kentucky:

Section 1: Purpose: The purpose of this ordinance is to insure to the extent possible the continued availability of as much water for public use as possible, consistent with prudent planning and conservation measures.

Section 2: Definitions:

(a) "Advisory Phase," as the term is used in this ordinance, shall mean that designation from time to time assigned to a watershed/water supply by the Division of Water, Cabinet for Natural Resources that indicates the need to:

- (1) Issue a water shortage advisory.
- (2) Set conservation goals and prepare for decreasing supply.
- (3) Inform the public about the potential problem.
- (4) Request voluntary conservation.

(b) "Alert Phase," as the term is used in this ordinance, shall mean that designation from time to time assigned to a watershed/water supply by the Division of Water, Cabinet for Natural Resources that indicates the need to:

- (1) Issue a water shortage alert.
- (2) Set more stringent conservation goals (than for the "advisory phase").
- (3) Ban or restrict some or all non-essential (Class 3) uses.
- (4) Inform the public about the problem.
- (5) Request voluntary conservation for all water use.
- (6) Monitor compliance with the ban on non-essential use and enforce when necessary.

(c) "Emergency phase," as the term is used in this ordinance, shall mean that designation from time to time assigned to the watershed/water supply by the Division of Water, Cabinet for Natural Resources that indicates the need to:

- (1) Issue a water shortage emergency declaration.
- (2) Set more stringent conservation goals (than for the "alert phase").

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(3) Ban all non-essential (Class 3) and restrict socially and economically important (Class 2) water uses.

(4) Inform the public.

(5) Enact conservation water pricing.

(6) Monitor all drought-related activities, especially compliance with the bans and enforce as necessary.

d. "Water rationing phase," as used in this ordinance, shall mean that designation from time to time assigned to the watershed/water supply by the Division of Water, Cabinet for Natural Resources that indicates the need to:

(1) Begin mandatory allocation of water.

(2) Immediately reduce usage by 25 percent.

(3) Inform the public.

(4) Enact conservation pricing.

(5) Set new conservation goals and monitor all shortage-related activities, especially compliance with the allocations, with enforcement as necessary.

e. "Essential uses (of water)," as the term is used in this ordinance, shall mean only those uses of water necessary to sustain life and lives of persons and domestic pets, maintain minimum standards of hygiene and sanitation, and to address the public safety (for example, fire fighting and dispersing chemical spills) and are further called Class 1 uses.

f. "Non-essential uses (of water)," as the term is used in this ordinance shall mean those uses of water that has no direct connection to the public health, safety, or economic or social well-being, such as: using fire hydrants except for emergencies; flushing sewers and hydrants except as needed to ensure public health and safety; serving water in restaurants and other places, except by customer request; failure to repair a controllable leak, increasing water levels in scenic and recreational ponds and lakes, except as necessary to support fish and wildlife; fountains, reflecting pools, and artificial water falls, use of water for dirt control; watering annual or non-woody plants (other than watering vegetable gardens and the irrigation of crops), watering lawns, parks, golf course fairways, playing fields, and other recreational areas; washing sidewalks, walkways, driveways, parking lots, tennis courts, or other hard-surface areas; washing down buildings except for fire protection, flushing gutters or permitting water to run or accumulate in any gutter or street, non-commercial washing of vehicles and any obviously wasteful use, and are further called Class 3 uses.

g. "Socially or economically important uses (of water)," also known as Class 2 uses, as the term is used in this ordinance, shall mean all uses PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE "Class 1" or "Class 3" uses, and shall include among other things the watering of farm livestock, watering of vegetable gardens, and irrigation of crops.

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h. "Rationing," as the term is used in this ordinance, shall mean procedures established to provide for the equitable distribution of critically-limited water supplies, in order to balance demand and limited available supplies, and to assure that sufficient water is available to preserve public health and safety.

Section 3: The aforementioned phases shall be based upon criteria established by the Division of Water, Cabinet for Natural Resources, to wit:

a. Advisory: conditions indicate the potential for serious water supply shortages; with respect to streams where the demand equals 20-40% of the flow at the water intake; and with respect to reservoirs, when the available supply is down to 45-60 days.

b. Alert: when there exist visible or measurable signs that supplies are significantly lower than the seasonal norm and are diminishing; with respect to streams where the demand equals 40-65% of the flow at the water intake; and with respect to reservoirs, when the available supply is down to 21-45 days.

c. Critical (Emergency): when the water utility is experiencing a shortage with respect to streams where the demand equals 65-75% of the flow at the water intake; and with respect to reservoirs, when the available supply is down to 14-21 days.

d. Rationing: when the supply of water is clearly inadequate to meet projected demands; with respect to streams where the demand exceeds 75% of the flow at the water intake; and with respect to reservoirs, when the available supply is down to less than 14 days.

Section 4: The County Judge-Executive is hereby granted the authority to implement restrictions on water use, when he or she determines the need to exist, by the issuance of executive orders which shall remain in effect until removed by him or her or countermanded by a vote of at least six (6) members of Fiscal Court at a regular or special meeting called for purpose of such consideration.

Section 5: Any such executive order may refer to the aforementioned and defined terms and call for voluntary conservation, restrictions or bans on Class 3 uses, and/or restrictions on Class 2 and 1 uses in his or her considered discretion, and may further restrict or ban such uses at certain parts of the day in various parts of the county.

Section 6: Any such executive order may order rationing of available supplies where the same are metered, and require that total metered use be immediately curtailed by up to twenty-five (25%) percent and up to forty (40%) percent after a period of ten (10) days.

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Section 7: All water utility operators shall forthwith develop a rationing plan that will equitably distribute critically short water supplies among customers in the event rationing is ordered hereunder, and shall present the same to the County Judge-Executive when requested.

Said plan shall provide for:

- (a) An immediate reduction in use of up to 25% normal.
- (b) Capability of further reduction as necessary up to 40% of normal.
- (c) Equal sacrifice on the part of each customer in a class, although preference shall be for less severe, ultimate restrictions on residential as opposed to commercial uses.
- (d) Preservation of sufficient supplies for the needs of public health and sanitation and for fire protection and other emergency service.

Said plan may also provide for:

- (e) Temporary service interruptions.
- (f) Excess user charges, which shall be placed in a reserve account dedicated to addressing water shortage problems and water conservation initiatives or such other purposes as the Public Service Commission or other governing body may later direct.
- (g) Discontinuation of service to any customer who fails to heed a warning.

Section 8: This ordinance is enacted pursuant to authority granted by KRS 67.083(3)(h), but shall not be deemed to interfere with the minimal rights of land owners under KRS 151.210(1) or with other statutory or common law riparian rights.

Section 9. Any person violating this ordinance or any Executive Order issued or rationing plan implemented pursuant to authority or mandate granted hereunder shall be issued a citation by a law enforcement officer and/or may be charged by any other person upon a summons or warrant:

- (a) For a first violation the person shall be warned only.
- (b) For a second violation and/or each subsequent violation, with each subsequent failure to cease and desist from the violation, regardless of lapse of time between the violations and/or the continued violation potentially giving rise to and constituting multiple violations, the person shall be subject to arrest, a fine of up to Five Hundred (\$500.00) Dollars and up to one (1) year in jail.

- (c) For violations by a household or a business where the individual operator or user is unknown, the head of such household or in the case of a business the

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SECRETARY OF THE COMMISSION

proprietor or an on-site or off-site officer of said business enterprise may be cited or arrested and charged for the offense.

Section 10. Severability. If any provision of this ordinance is declared unconstitutional, or the application thereof to any person or circumstance is held invalid, the constitutionality of the remainder of the ordinance and its applicability to other persons and circumstances shall not be affected thereby.

Section 11. Effective Date. This ordinance shall take effect immediately upon adoption or passage by the Fiscal Court and publication.

Section 12. Effective Period. This ordinance will remain in effect until termination by action of the Fiscal Court.

This Ordinance shall be read and published in summary form, the full text being available for public inspection in the office of the County Judge-Executive and/or the County Clerk.

Dated this _____ day of _____, 1999.

ATTEST:

HENRY W. BERTRAM,
Pendleton County Judge-Executive

BONNIE MONROE,
Pendleton County Clerk

First reading: _____

Second reading: _____

Passage: _____

Publication: _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUL 04 2001

PURSUANT TO 807 KAR 5.011,
SECTION 9 (1)

BY: Stephan D. Bue
SECRETARY OF THE COMMISSION